



IFW  
Receipt  
1755

Practitioner's Docket No. U 015525-3

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re prior application of: Masayuki MOMOSE, et al  
Application No.: 10/517,951 Group No.: 1755  
Filed: May 2, 2005 Examiner:  
For: MODIFIED CARBON BLACK DISPERSION, AND WATER-BASED INK CONTAINING  
THE SAME

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

NOTE: The PTO will not correct the filing receipt until the application is complete (in other words, the applicant files a response to the notice to file missing parts).

2. There is an error with respect to the following data, which is:

[x] incorrectly entered

and/or

[ ] omitted.

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CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

- ☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Date: December 16, 2005

FACSIMILE

- ☐ transmitted by facsimile to the Patent and Trademark Office, to (571)-273-8300

Signature

CLIFFORD J. MASS

(type or print name of person certifying)

***Error in***

1. ☐ Applicant's name
2. ☐ Applicant's address
3. ☒ Title
4. ☐ Filing Date
5. ☐ Serial Number
6. ☐ Foreign/PCT Application Re:
7. ☐ Other

***Correct data***

- 1.
- 2.
3. Delete "LIQUID"; insert --,-- after dispersion; delete "WATER BASE" and insert --WATER-BASED--.
- 4.
- 5.
- 6.
- 7.

Reg. No.: 30086

Tel. No.: (212) 708-1890

Customer No.: 00140

  
\_\_\_\_\_  
SIGNATURE OF PRACTITIONER

\_\_\_\_\_  
CLIFFORD J. MASS  
(type or print name of practitioner)

\_\_\_\_\_  
P.O. Address

\_\_\_\_\_  
c/o Ladas & Parry LLP  
26 West 61<sup>st</sup> Street  
New York, N.Y. 10023



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/517,951	05/02/2005	1755	1310	U 015525-3		21	1

00140  
 LADAS & PARRY  
 26 WEST 61ST STREET  
 NEW YORK, NY 10023

CONFIRMATION NO. 7271

## FILING RECEIPT



\*OC000000016258114\*

Date Mailed: 06/14/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Masayuki Momose, Nagano-ken, JAPAN;  
 Miharu Kanaya, Nagano-ken, JAPAN;  
 Sohko Itoh, Osaka, JAPAN;  
 Tatsuya Yagyu, Osaka, JAPAN;

Power of Attorney: The patent practitioners associated with Customer Number 00140.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/05908 04/23/2004

## Foreign Applications

JAPAN 2003-117995 04/23/2003

Projected Publication Date: 09/22/2005

Non-Publication Request: No

Early Publication Request: No

## Title

Modified carbon black dispersion liquid and water base ink containing the same



Practitioner's Docket No. U 015525-3

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re prior application of: Masayuki MOMOSE, et al

Application No.: 10/517,951

Group No.: 1755

Filed: May 2, 2005

Examiner:

For: MODIFIED CARBON BLACK DISPERSION, AND WATER-BASED INK CONTAINING THE SAME

**Commissioner for Patents**

**P. O. Box 1450**

**Alexandria, VA 22313-1450**

**SUPPLEMENTAL  
APPLICATION DATA SHEET  
37 C.F.R. § 1.76**

*NOTE: Delete "Supplemental" **only** if filed with application. Complete all 7-section data below with, preferably, underline to show additions and strikeout or brackets to show deletions. 37 CFR 1.75 10/21/04.*

**BIBLIOGRAPHIC DATA**

**1. Applicant information**

*NOTE: 37 C.F.R. § 1.76(b)(1): "(1) Applicant information. This information includes the name, residence, mailing address, and citizenship of each applicant (§ 1.41(b)). The name of each applicant must include the family name, and at least one given name without abbreviation together with any other given name or initial. If the applicant is not an inventor, this information also includes the applicant's authority (§§ 1.42, 1.43, and 1.47) to apply for the patent on behalf of the inventor."*

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**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10**

*(When using Express Mail, the Express Mail label number is **mandatory**;*

*Express Mail certification is optional.)*

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**37 C.F.R. § 1.8(a) 37 C.F.R. § 1.10**

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Date: December 16, 2005

Signature \_\_\_\_\_

CLIFFORD J. MASS

(type or print name of person certifying)

Application Data Sheet—page 1 of 6) 4-1.1

First applicant:

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Fifth applicant, (if any)

GIVEN NAME MIDDLE INITIAL OR NAME FAMILY (OR LAST NAME)

Citizenship

Residence

- ☐ Applicant is not the inventor and applicant's authority (§§ 1.42, 1.43 and 1.47) to apply for the patent on behalf of the inventor is as follows:

## 2. Correspondence information

*NOTE: 37 C.F.R. § 1.76(b)(2): "(2) Correspondence information. This information includes the correspondence address, which may be indicated by reference to a customer number, to which correspondence is to be directed (see § 1.33(a))."*

Correspondence for this application should be addressed as follows:

☒ Name: Ladas & Parry LLP

Address: 26 West 61<sup>st</sup> Street  
New York, NY 10023

☒ Customer No.:



**00140**

PATENT TRADEMARK OFFICE

## 3. Application information.

*NOTE: 37 C.F.R. § 1.76(b)(3): "Application information This information includes the title of the invention, a suggested classification, by class and subclass, the Technology Center to which the subject matter of the invention is assigned, the total number of drawing sheets, a suggested drawing figure for publication (in a nonprovisional application), any docket number assigned to the application, the type of application (e.g., utility, plant, design, reissue, provisional), whether the application discloses any significant part of the subject matter of an application under a secrecy order pursuant to § 5.2 of this chapter (see § 5.2(c)), and for plant applications, the Latin name of the genus and species of the plant claimed, as well as the variety denomination. The suggested classification and Technology Center information should be supplied for provisional applications whether or not claims are present. If claims are not present in a provisional application, the suggested classification and Technology Center should be based upon the disclosure."*

Title of Invention: MODIFIED CARBON BLACK DISPERSION, AND WATER-BASED INK CONTAINING THE SAME

Docket number assigned to this application: U 015525-3

Suggested Classification: Class:

Subclass:

Technology Center to which subject matter is assigned: **1755**

*NOTE: "The suggested classification and Technology Center information should be supplied for provisional applications whether or not claims are present. If claims are not present in a provisional application, the suggested classification and Technology Center should be based upon the disclosure." 37 C.F.R. § 1.76(b)(3).*

Total number of drawing sheets: 0

Type of application:

☒ utility

☒ application is to be published

Suggested drawing figure for publication: \_\_\_\_\_

☐ application is not to be published

☐ plant

☐ Latin names of the genus \_\_\_\_\_  
species \_\_\_\_\_

of plant being claimed.

☐ design

☐ reissue

☐ provisional

Secrecy order under § 5.2:

This application

☒ does not disclose

☐ discloses a significant part of the  
subject matter of an application which is under a secrecy order pursuant to § 5.2.

#### 4. Representative information

*NOTE: 37 C.F.R. § 1.76(b)(4) states: "Representative information. This information includes the registration number of each practitioner having a power of attorney or authorization of agent in the application (preferably by reference to a customer number). Providing this information in the application data sheet does not constitute a power of attorney or authorization of agent in the application (see § 1.34(b))." (Emphasis added).*

The following have a power of attorney or authorization of agent in this application: Name of attorney (agent):

JOHN RICHARDS, 31053

WILLIAM R. EVANS 25858

RICHARD J. STREIT, 25765

JANET I. CORD, 33778

PETER D. GALLOWAY, 27885

CLIFFORD J. MASS, 30086

RICHARD P. BERG, 28145

STEVEN I. WALLACH, 35402

JULIAN H. COHEN, 20302

Customer No.:



00140

PATENT TRADEMARK OFFICE

## 5. Domestic Priority information

**NOTE:** "Domestic priority information. This information includes the application number, the filing date, the status (including patent number if available), and relationship of each application for which a benefit is claimed under 35 U.S.C. 119(e), 120, 121, or 365(c). Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and § 1.78(a)(2) or § 1.78(a)(4), and need not otherwise be made part of the specification." 37 C.F.R. § 1.76(b)(5).

☐ Domestic priority for this application is claimed as follows:

☐ 35 U.S.C. § 119(e): Application No.: \_\_\_\_\_  
Filed: \_\_\_\_\_

Status: \_\_\_\_\_

Relationship: \_\_\_\_\_

☐ 35 U.S.C. § 120: Application No.:

Application No.: \_\_\_\_\_

Filed: \_\_\_\_\_

Status: \_\_\_\_\_

Relationship: \_\_\_\_\_

☐ 35 U.S.C. § 121: Application No.: \_\_\_\_\_

Filed: \_\_\_\_\_

Status: \_\_\_\_\_

Relationship: \_\_\_\_\_

☒ 35 U.S.C. § 365(c): Application No.: PCT/JP04/05908

Filed: April 23, 2004

Status: \_\_\_\_\_

Relationship: \_\_\_\_\_

## 6. Foreign priority information

**NOTE:** Foreign priority information. This information includes the application number, country, and filing date of each foreign application for which priority is claimed, as well as any foreign application having a filing date before that of the application for which priority is claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and § 1.55(a). " 37 C.F.R. § 1.76(b)(6).

**WARNING:** Section 1.55(a) provides (1) that in an original application filed under 35 U.S.C. 111(a) (other than a design application) the claim for priority must be presented during the pendency of the application, and within the later of four months from the actual filing date of the application or sixteen months from the filing date of the prior foreign application; (2) in an application that entered the national stage from an international application after compliance with 36 U.S.C. 371, the claim for priority must be made during the pendency of the application and within the time limit set forth in the PCT and the Regulations under the PCT.

☒ Foreign priority is claimed for this application as follows:

Country: Japan

Application No.: 2003-117995

Filing date: April 23, 2003

Status: \_\_\_\_\_



Foreign application having a filing date before that of the above application for which priority is claimed.

☐ None

☐ Country: \_\_\_\_\_

Application No.: \_\_\_\_\_

Filing date: \_\_\_\_\_

Status: \_\_\_\_\_

## 7. Assignee information

*NOTE:: 37 C.F.R. § 1.76(b) "Assignee information. This information includes the name (either person or juristic entity) and address of the assignee of the entire right, title, and interest in an application. Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of this chapter to have an assignment recorded by the Office. "*

*NOTE: 37 C.F.R. § 1.215(b): "(b) If applicant wants the patent application publication to include assignee information, the applicant must include the assignee information on the application transmittal sheet or the application data sheet (§ 1.76). Assignee information may not be included on the patent application publication unless this information is provided on the application transmittal sheet or application data sheet included with the application on filing. Providing this information on the application transmittal sheet or the application data sheet does not substitute for compliance with any requirement of part 3 of this chapter to have an assignment recorded by the Office. "*

The assignee(s) of this application is/are:

Name of assignee: Seiko Epson Corporation

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and

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Extent of interest of assignee in application: 100 %

Reg. No. 30086

Tel. No. (212) 708-1890

Customer No. 00140

Signature of Practitioner

CLIFFORD J. MASS

type or print name of practitioner)

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